



FLORIDA ATLANTIC UNIVERSITY
OFFICE OF INSPECTOR GENERAL

ANNUAL WORK PLAN
FISCAL YEAR 2024

Planned Projects For FY 2024

Project Summary

Project Types	Total
In Progress and Carryforward Audit Projects	3
New Audit Project	1
Consulting Projects	2
Required Audit Projects** #OTw	2

FPoFollow-

Required Audit Projects		
State University Performance-Based Funding Metrics – Data Integrity	University-Wide	In accordance with the State University Systems Board of Governor’s (BOG)’s mandate, the objective of this annual audit will be to determine whether the processes, procedures, system-based controls, and other data verification measures in place ensure the completeness, accuracy, and timeliness of data submitted to the BOG for the University’s Performance-Based funding calculations.
Post-Tenure Faculty Review Process	Provost	This is planned to be an audit of the comprehensive post-tenure review process performed by the Office of the Provost for the prior fiscal year. Specific objectives and scope will be based on the BOT and management directives. As required by the BOG regulation, results of the audit will be submitted to the BOT Audit and Compliance Committee by July 1, 2024.
Follow-up Audit Projects		

University
Cybersecurity

		Follow-up audits are conducted every six months (March and September) for up to two years.
Investigations and Special Review Projects		
Investigations		
Complaint Intake and Investigations (<i>as it arises</i>)		OIG receives complaints reported through various sources. We evaluate each complaint received to determine jurisdiction, whether it merits investigation, and whether the complaint is Whistle Blower related. The OIG is responsible for investigating non-criminal allegations and Whistle Blower complaints pertaining to fraud, waste, and abuse of University Resources. Complaints determined to be outside of our jurisdiction are referred to the appropriate management.
Special On-Going Projects		
External Audit Coordination		For audits conducted by the Auditor General and other entities, the OIG acts as the primary liaison and assists in coordinating and facilitating management response and follow-up on the audits or investigations.
Other OIG Functions and Office Management Activities		
Other OIG Functions		
Other OIG functions		Other OIG functions include (but are not limited to) activities such as policy and procedure development/revision, Annual Risk Assessment for work plan development, and Internal Quality Assurance and Peer Reviews.
Office Management Activities		
Office Management Activities		Office management activities include (but are not limited to) general administrative functions and staff meetings, continuing professional development, outreach to university staff and administrators, and approved employee leave and holidays.